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If calling please ask for:  
Adam Chisnall

16 March 2018

## **Regulation, Audit and Accounts Committee**

A meeting of the Committee is to be held at **10.30 am, Monday 26 March 2018 at County Hall, Chichester.**

**Tony Kershaw**  
Director of Law and Assurance

### **A g e n d a**

#### **1. Declaration of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt, contact Democratic Services before the meeting.

#### **2. Minutes of the previous meeting**

To confirm the minutes of the meeting of the Committee held on 27 November 2017, [attached](#) (buff paper).

#### **3. Urgent Matters**

Items not on the agenda, which the Chairman of the meeting is of the opinion, should be considered as a matter of urgency by reason of special circumstances.

#### **4. Part II Matters**

Members are asked to indicate at this stage if they wish the meeting to consider bringing into Part I any items on the Part II agenda.

#### **5. External Audit**

Audit Planning Reports from the External Auditor EY.

5a. West Sussex County Council, [attached](#).

5b West Sussex Pension Fund, [attached](#).

The Committee is asked to comment on the plans.

6. **Internal Audit Progress Report – February 2018**

Report by the Head of Southern Internal Audit Partnership, [attached](#).

The Committee is asked to note the Internal Audit Progress report.

7. **Internal Audit Plan 2018/19**

Report by the Head of Southern Internal Audit Partnership, [attached](#).

The Committee is asked to approve the contents of the Internal Audit Plan and Fraud Plan for 2018/19

8. **Internal Audit Charter 2018-19**

Report by the Head of Southern Internal Audit Partnership, [attached](#).

The Committee is asked to approve the Internal Audit Charter 2018-19.

9. **Draft Annual Governance Statement 2017/18**

Report by the Director of Law and Assurance, [attached](#).

The Committee is asked to support the draft Annual Governance Statement subject to any changes to the content or format and any further areas that the Committee wishes to include.

10. **Financial Statements 2017/18 – Plans and Progress**

[Report](#) by the Director of Finance, Performance and Procurement

The Committee is asked to note the project plans for the County Council and Pension Fund accounts; and consider the draft accounting policies for both the County Council and Pension Fund accounts for 2017/18, and approve them for application in preparing this year's accounts.

11. **Quarterly Review of the Corporate Risk Register**

Report by the Director of Finance, Performance and Procurement, [attached](#).

The Committee is asked to review the information detailed in the report, the current Corporate Risk Register and Risk Management Strategy, and provide comment as necessary.

12. **General Data Protection Regulation (GDPR) Assurance**

Report by the Director of Finance, Performance and Procurement and Chief Information Officer, [attached](#).

The Committee is invited to note and comment on the plans and actions described and to raise any issues which require further assurance that appropriate activity is underway to enable the Council to meet its obligations arising from the GDPR.

13. **Treasury Management Compliance Report – Third Quarter 2017/18**

Report by the Director of Finance, Performance and Procurement, [attached](#).

The Committee is asked to note the report.

14. **Duplicate Payments**

Report by the Director of Finance, Performance and Procurement, [attached](#).

The Committee is asked to note the outcome of the audit recovery work and the position regarding the current duplicate payments process.

15. **Work Programme**

Programme by the Director of Law and Assurance, [attached](#).

The Committee is asked to agree the outline work programme for the 2018/19 year and agree any further priority items for addition to the programme.

16. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on Monday 23 July 2018.

**To: all members of the Regulation, Audit and Accounts Committee**